U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

APPLICANT BOSCH et al.

Date Submitted to PTO: September 27, 1999			FILING DATE	FILING DATE November 12, 1998						
		. September 27, 1995)		· · · · · · · · · · · · · · · · · · ·					
*EXAMINE	R	DOCUMENT	DATE	U.S. PATENT DOCUMENTS			200			
INITIAL	201	NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE			
	A2 5,225,183		05/89	CLEMENTS	514	78				
P			07/93	PUREWAL et al.	424	45				
35	A3 5,747,001		05/98	WIEDMANN et al.	424	45				
8	A4 5,145,684		09/92	LIVERSIDGE et al.	424	424 489				
98	A5	5,264,610	11/93	BACON	560	47	·			
8/	- A6	5,785,049	07/98	SMITH et al.	128	203.15				
Por	A7	4,807,814	02/89	DOUCHE et al.	239	428				
3~	A8	5,260,478	11/93	BACON et al.	560	110				
),	A9	5,322,679	06/94	BACON et al.	BACON et al. 424					
6/4) BA10	5,300,739	04/94	BITTAR	187	127				
			<u></u>	FOREIGN PATENT DOCUMENTS	<u>·</u>					
		DOCUMENT	DATE	COUNTRY	Siana	SUBCLASS				
1221	NUMBER A11 0 347 779 12/89		EUROPE	CLASS		TRANSLATION YES NO				
a	A12	92/08446	05/92							
201	7 A13	0 275 796		WIPO WO	_					
Lida	3		07/88	EUROPE	-		ABS.			
	A14	OTHER	DOCUMENT(S) (Including Author, Title, Date, Pert	inent Pages, Etc.)					
2	} ^\``		NEWMAN, "Therepoutic Acrosols", pp. 197-224, (1084) Incomplete							
	1									
273	A15		PRODI et al., "Airborne Particles And Their Intrapulmonary Deposition", Scientific Foundations Of Respiratory							
			Medicine, pp. 545-558, (1981)							
, t	A16	ŀ	HEYDER, "Mechanisms Of Aerosol Particle Deposition", Lung Mucociliary Clearance, Vol. 80:(6)820-823,							
		((1981) (1982) (1981)							
ann	A17	·F	FOX et al., "Performance Of A Venturi Eductor As A Feeder In A Pneumatic Conveying System", Powder And							
(441)		B	ulk Engineerin	g, pp. 33-36, (1988)		7 370				
AMINER	\ \ .\	m,)		DATE CONSIDERED	3.14.00) (1				
AMINER: Initial if re	sference co	onsidered whather or		/	711.00	2/34	0/02			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

LIST OF	HEFERENC	ES CITED BY ADDL.	· · · · ·	APPLICANT BOSCH et al.						
'	COS SEVER	sheets if necessary	1 	FILING DATE November 12, 1998	GROUP 1614	GROUP				
· · · ·	- 7 -			U.S. PATENT DOCUMENTS		·				
*EXAMINER INITIAL	.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE			
	_									
			 							
	-		-							
										
	T	DOCUMENT		FOREIGN PATENT DOCUMENTS	s					
	-	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATIO YES NO			
	-			··.						
	-									
	+	· ·								
	T	OTHE		S) (Including Author, Title, Date, I						
24	A18			tionality Testing Used To Rationa						
ÿ			(1995)	A Nebulizer (Performance Assess	sment)*, Vol. 56/12-B	of Dissertation Abst	racts International			
)	A19		WALDREP et a	al., "Operating Characteristics of	18 Different Continuou	s-Flow Jet Nebulizer	rs With			
				ne Dipropionate Liposome Aerosol						
) _E —.	A20		BYRON, "Aero	sol Formulation, Generation, And	Delivery Using Nonme	tered Systems', pp.	143-151, (1989)			
DATES	A21		Soviet Union A	bstract No. 628930, "Bulb-Actua	ited Ejector For Powde	red Medicinal Substa	Ince* (1978)			
					e under "					
	+	-								
NER	!) -	Em. 5		DATE CONSIDER	RED 3.14 C					

XAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

Sheet 1 of 1											
FORM PTO 1449 (modified) ATTY DOCKET NO. SERIAL NO.											
U.S. DEPAR	TMI	ENT OF COMME		029318/0109 09/190,138							
PATENT AN	D T	RADEMARK OF	FICE	APPLICANT							
		·									
Date Submitted to PTO:				Bosch et al. FILING DATE GROUP							
June 8, 2000				November 12, 19	998	1615 16	.16				
U.S. PATEN	<u>IT D</u>	OCUMENTS			·						
*EXAMINER INITIAL	_	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE				
				·							
	-										
							·				
			· -								
	_										
				,							
EOREIGN D	ATE	NT DOCUMENT	•								
FOREIGN PA	AIE	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION				
	·	NUMBER	DATE	COUNTRI	CLASS	SOBCLASS	YES NO				
A).(2)	B1	W27 99/30687	24.06.99	WO	_		English				
د ا ک راح	B2	₩ 99/45779	16.09.99	WO	_		English				
OTHER DOC	<u>MUS</u>	ENT(S) (Includin	g Author, Ti	tle, Date, Pertinen	t Pages, Etc.) .	-				
			-								
	-										
EXAMINER		TSAWA		DATE CO	NSIDERED	2/20	102				

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1	of	1		-							
FORM PTO) 1449	(modified)		ATTY DOCKET NO. SERIAL NO.							
U.S. DEPARTMENT OF COMMERCE				029318/0109 09/190,138							
PATENT AND TRADEMARK OFFICE				APPLICANT 09/190,138							
				Bosch et al.							
Date Submitted to PTO:											
June 8, 2000				November 12, 1	008	GROUP 1615 6	16	•			
		OCUMENTS	 	November 12, 1	990	1012 10		 -			
*EXAMINER		DOCUMENT	DATE	NAME	CLASS	SUBCLASS	T EN DIG DA	ODD TO			
INITIAL		NUMBER		IVAIVIL	CLASS	SUBCLASS	FILING DA APPROPRI				
`ap/()	C1	5,518,738	05.21.96	Eickhoff et al.							
					·			·			
			·								
						•		· ·			
								-			
,											

FOREIGN	PATE	NT DOCUMENT	rs		1-7-						
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLAT YES	ION NO			
` - ∤· >	C2	₩ 96/25918	29.08.96	WO			English				
	C3	98/35666	20.08.98	WO	_		English				
	C4	95/27475	19.10.95	WO	-	- :	English				
A12	C5	₩ ᢒ 99/38493	05.08.99	WO		-	English				
OTHER DO	CUM	IENT(S) (Includin	g Author, Ti	tle, Date, Pertiner	t Pages, Etc.)		<u> </u>			
·			· · · · · · · · · · · · · · · · · · ·								
,											
			··-		·	·					
EXAMINER BAWA DATE CONSIDERED 2/20/02											

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE				ATTY, DOCKET NO. SERIAL NO.				<u> </u>		
(MODIFIED) PATENT AND TRADEMARK OFFICE					029318-0615 09/597,738				3	
					APPLICANT					
. INFO	ORMATI	ON DISCLOSURE	CITATION		Bosch et al.					
	6	IPE VS		FILING DATE		GROUP ART UNIT				
		everal sheete if nece	ssary)	06/19/20	000		1619 /	616		
		1 3 2001 岁	U.S. PA	T DOCUMENTS						
EXAMINER INITIAL			DATE		NAME	CLASS	SUB- CLASS	1	DATE F PRIATE	
213	A1	5,091,187	02/25/1992	Hay	nes	424/450		05/21	/1991	
	A2	5,091,188	02/25/1992	Hay	nes	424/450		04/26/1990		
	A3	5,118,528	06/02/1992	Fess	si et al.	427/213		10/04	/1990	
	A4	5,145,684	09/08/1992	Live	rsidge et al.	424/489		01/25	/1991	
	A5	5,202,110	04/13/1993	Dalb	by et al.	424/45	_	01/22/1992		
21.0	A6	5,985,309	11/16/1999		ards et al.	424/426	 _	 	7/1997	
		L	FOREIGN	PAT	ENT DOCUMENTS					
	REF	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUB- CLASS	TRANS	LATION	
2,7	A7	0 499 299 A2	19.08.1992	EPG	F F I.	A61K 47/48		X	110	
1	A8	43 27 063 AT	16.02.1995	DE	<u> </u>	C07C 50/28		-	X	
	A9	0 602 702 AT	22.06.1994	EPE	Germany Europe	A61K 49/04		×		
	A10	2 237 510	08.05.1991	CB -	Britain	A61K 9/14		X		
	A11	₩ 0 91/16038	31.10.1991	WIP		A61K 9/12		×		
	A12	₩ 0 92/08446	29.05.1992	₩IP	e wo	A61K 9/12		х		
4)13	A13	yy 9 94/20072	15.09.1994	WIP	e Wo	A61K 9/10	_	×		
		OTHER DOCU	MENTS (Includi	ing A	uthor, Title, Date, Pe	rtinent Pages,	Etc.)		-	
77	A14				orms and Formulation eds. (Elsevier Science			ciples, Di	agnosis	
	A15	Remington's Pharr	naceutical Scienc	es, p	pp. 1565-1567 (Mack P	ublishing Comp	any 1990)			
	A16	Edwards et al., "La 1997)	rge Porous Partic	les fo	r Pulmonary Drug Deli	ivery," Science,	276:1868-18	71 (June 2	20,	
	A17		"Test Method B527-93(2000)el Standard Test Method for Determination of Tap Density of Metallic Powders and Compounds," http://www/astm.org/DATABASE.CART/PAGES/B527.htm							
	A18	"Autotap and Dual	Autotap," http://w	ww.q	uantachrome.com/Den	sity/Autotap.htm	1			
413	· A19	"Optimal Tapped D	ensity Tester," htt	tp://o	otimalcontrol.com/tapp	ed.html	-			
EVAMINED					DATE CONSIDE	RED				
EXAMINER DATE CON						2/20				
line	through	Initial if citation citation if not ir tion to applicant.	considered, w conformance	heth and	er or not citation is not considered. I			£	4h	
L		· ·				 	OF VIER 1600		7	
							5 7	M		



Page 1 of 1 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. SERIAL NO. Form PTO-1449 09/597,738 (MODIFIED) PATENT AND TRADEMARK OFFICE 029318-0615 APPLICANT INFORMATION DISCLOSURE CITATION P E Bosch et al. **GROUP ART UNIT CUING DATE** DEC 2 7 2001 (Use several sheets if necessary, 06/19/2000 1619-1616 ÖCUMENTS FILING DATE DOCUMENT SUB-**EXAMINER** DATE NAME **CLASS** REF INITIAL **CLASS** NUMBER APPROPRIATE C1 11/23/1993 SHIBAHARA et al. 424 409 5,264,213 514 274 5,098,907 03/24/1992 KONDO et al. C2 KONDO et al. 514 274 5.002.952 03/26/1991 C3 4.983.605 01/08/1991 KONDO et al. 514 247 C4 4,904,668 KONDO et al. 514 274 02/27/1990 C5 4,851,421 07/25/1989 IWASAKI et al. 514 352 C6 4,727,077 02/23/1988 HAGA et al. 514 274 C7 4,540,602 09/10/1985 MOTOYAMA et al. 427 213.31 C8 FOREIGN PATENT DOCUMENTS TRANSLATION SUB-DOCUMENT DATE COUNTRY **CLASS** REF NUMBER **CLASS** YES NO 0 262 560 A2 Х 04/06/1988 **EUROPE** C9 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) KONDO et al., "Pharmacokinetics of a Micronized, Poorly Water-Soluble Drug, HO-221, in Experimental C10 Animals," Biol. Pharm. Bull. 16(8):796-800 (1993) @ Pharmaceutical Society of Japan. KONDO et al., "Improved Oral Absorption of a Poorly Water-Soluble Drug, HO-221, by Wet-Bead Milling Producing Particles in Submicron Region," Chem. Pharm. Bull. 41(4):737-740 (1993) @ Pharmaceutical Society C11 of Japan. KONDO et al., "Improved Oral Absorption of Enteric Coprecipitates of a Poorly Soluble Drug," J. of C12 Pharmaceutical Sciences 83(4):566-570 (1994) @ Am. Chemical Soc. And Am. Pharm. Assoc. DATE CONSIDERED **EXAMINER** TSAWA

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next

002.684256

communication to applicant.